

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: E. RASPE et al.

SERIAL NO: 09/646,924

FILING DATE: September 25, 2000

FOR: USE OF ROR RECEPTORS FOR SCREENING SUBSTANCES USEFUL FOR THE TREATMENT OF  
ATHEROSCLEROSIS

GAU: 1656

EXAMINER: S. Chunduru

## AMENDMENT TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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AUG 21 2001

TECH CENTER 1600/2900

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required.
- ☐ Applicant(s) is/are entitled to small entity status.
- ☐ Additional documents filed herewith:

The fee has been calculated below:

CLAIMS	CLAIMS REMAINING		HIGHEST NO. PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS	RATE		CALCULATIONS
TOTAL	22	MINUS	20	2	x	\$18 =	\$36.00
INDEPENDENT		MINUS	3	0	x	\$80 =	\$0.00
	<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS						
	TOTAL OF ABOVE CALCULATIONS						\$36.00
	<input checked="" type="checkbox"/>						
	<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY						\$0.00
	<input type="checkbox"/> RECORDATION OF ASSIGNMENT				+	\$40 =	\$0.00
	TOTAL						\$36.00

- ☒ A check in the amount of \$36.00 is attached.
- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 13-3402. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. § 1.136, and any additional fees required under 37 C.F.R. § 1.36 for any necessary extension of time may be charged to Deposit Account No. 13-3402. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Robert E. McCarthy, Registration No. 46,044  
Agent for Applicants

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Date: AUGUST 14, 2001

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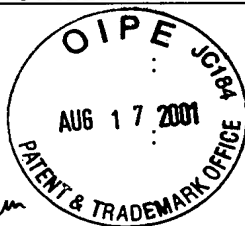
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

E. Raspe *et al.*

Serial No.: 09/646,924 *phm*

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Group Art Unit: 1656

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FOR THE TREATMENT OF ATHEROSCLEROSIS**

I hereby certify that this correspondence is being deposited  
with the U.S. Postal Services as First Class Mail in an envelope  
addressed To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231 On: August 14, 2001  
Name: Robert E. McCarthy  
Signature: Robert E. McCarthy  
Date: August 14, 2001

**AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action of March 14, 2001, please amend the above-identified  
application as follows:

**OATH/DECLARATION**

A copy of the oath/declaration including all 4 pages is attached.

**DRAWINGS**

In Figures 1 and 14, the identification of the bar graphs on the X-axis is indicated  
in the inset of each Figure. For example in Figure 1, the bar graph on the left represents  
pCMX and the bar graph on the right represents pCMX-hROR $\alpha$ 1.

The label for '→' has been included on Figures 7-10. The corrected figures are  
attached.